

ACCOUNT OPENING CHECKLIST (INDIVIDUAL / JOINT ACCOUNTS)

**開戶所需文件清單 (個人/聯名)
(在香港以外地區遞交開戶文件)**

親愛的客戶

歡迎您選擇在鉅亨證券開立帳戶。請您填妥開戶表格及核對所需的文件後再寄回本公司的聯絡專員。

本公司地址:

鉅亨證券有限公司
香港銅鑼灣邊寧頓街 18 號 28 樓

開立個人/聯名戶所需繳付的相關文件:

1. 每名申請人的護照及台胞証/身份證影本 (客戶須於公證時在空白處親簽)
2. 住址證明正本 (最近 3 個月的水,電,銀行對帳單或個人帳單正本)
3. 開戶文件內頁之箭頭處需簽名,共有8簽名處 (p.14, 18, 28, 30, 31/32, 35, 37, 39)
4. W-8BEN 表格
5. 個人財力及投資經驗證明(如有)

注意事項:

1. 以上簽名須與護照/印鑑卡樣式等同
2. 帳戶持有人至少一位年滿十八歲
3. 客人需於香港辦理開戶手續
如客人需於香港境外開立帳戶, 必須由主管審議, 經核准後才可免除香港開戶之手續
如客戶未能親身開戶, 其開戶文件均需得到會計師或律師之公證

如有任何有關這些文件的疑問或需要任何協助請隨時與我們聯絡。

請儘快寄回所有已填寫妥善之相關文件。

請注意:

1. 英文部分摘錄自主契約內容, 具法律約束效力, 中文翻譯僅提供參考對照。
2. 若開戶文件或其內容不完整, 本公司得將其退還或拒絕受理, 故請詳細填寫所有需填寫之文件及表格, 若有疏漏造成開戶手續延遲請客戶自行負責。懇請配合, 謝謝。
3. 請在所有影本文件上簽名。簽名樣式須與印鑑卡樣式等同。
4. 若填寫過程中有任何塗改, 請在旁邊簽名。
5. 除特別註明之外, 資料可用中文或英文填寫, 唯所有「地址」部分請中、英文皆填寫, 謝謝。

Dear Client:

We would like to take this opportunity to welcome you to Anuenue Securities Limited. Please complete our account opening forms booklet and return them with supporting documents to your contact at Anuenue Securities Limited.

Our Address:

Anuenue Securities Limited
28/F, 18 Pennington Street, Causeway Bay, Hong Kong

Our requirements of supporting document for individual / joint account opening are as follow:

- | | |
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| 1. Travel Document
AND
a government issued card with an ID photo OR
Mainland Travel Permit for Taiwan Residents | (Signature of applicant on space area, sighted by authorized person)
Same as above |
| 2. Original Residential Address Proof | (e.g. utility bills within the past 3 months from application) |
| 3. Applicant's signatures on the Account Opening Documents | (p. 14, 18, 28, 30, 31/32, 35, 37, 39) |
| 4. W-8BEN Form | |
| 5. Proof of Financial Standings and investment experience | (if any) |

Notice:

1. Applicant's signature on the account opening document should be identical to signature specimen shown on government issued travel document.
2. At least one account holder should attain the age above 18 years old.
3. All application should be conducted in a Face-to-Face manner at our Hong Kong office. Exemption may be granted at company's discretion. All application and supporting documents must be certified by a person as stated on pg.4. of the account opening forms booklet.

If you have any questions, please do not hesitate to contact us for assistance. Thank you for promptly completing and returning all applicable forms and documents.