

日期 (日/月/年)

Date (dd/mm/yyyy): \_\_\_\_\_

**證券交收指示 Securities Settlement Instruction**

客戶帳號 Account No:	客戶姓名 Account Name:
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請 Please:  將下列證券接收到本人/吾等證券帳戶  
 receive the following securities for my/our above securities account  
 從本人/吾等上述證券帳戶交付證券  
 deliver the following securities from my/our above securities account

並將下列交收指示於 \_\_\_\_\_ (年) \_\_\_\_\_ (月) \_\_\_\_\_ (日) 進行交收。

and input the following Settlement Instruction to effect settlement on \_\_\_\_\_ (date) \_\_\_\_\_ (month) \_\_\_\_\_ (year).

交收對手名稱 戶口號碼  
 Name of Counterparty: \_\_\_\_\_ Account No: \_\_\_\_\_

交收對手聯絡人 電話  
 Contact Person: \_\_\_\_\_ Tel No.: \_\_\_\_\_

交收方式 Settlement Method	<input checked="" type="checkbox"/> 無需付款交收 Free of Payment (FOP) <input checked="" type="checkbox"/> 金額對付交收 Delivery Against Payment (DVP)			
股票號碼 Stock Code (ISIN)	股票名稱 Stock Name	股數 Quantity	金額 (如適用) Amount (if applicable)	備註 Remarks

I/We confirm that there is no change of beneficial ownership involved in this transfer. I/We hereby agree to indemnify you against all losses which may be suffered by reason of your acting in accordance with my/our instruction set out above.

本人/吾等確認上述股票收益人身份並沒有因進行以上股票交收指示而改變。本人/吾等願為有關上述指示承擔一切後果。

客戶簽署 Client Signature	公司專用 For Office Use Only		
	Signature verified	Prepared by	Approved by